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Commissioner for Patents Box Missing Parts Washington, D.C. 20231

COPY OF PAPERS ORIGINALLY FILED

on October 29, 2001

Bruce W. Slayden, II (Re

October 29, 2001

Date

EL865848217US Express Mail Label

Included in this Patent Application Mailing are:

1. Completion of Filing Requirements;

- 2. Copy of Notice to File Missing Parts of Nonprovisional Application mailed 08/31/01;
- 3. Declaration of Inventor Mark B. Lyles;
- 4. Check in the amount of \$492.00;
- 5. Fee Transmittal (plus duplicate copy);
- 6. Return Postcard to acknowledge receipt of the above items.

First Named Inventor:

Mark B. Lyles

U.S.S.N.:

(09/910.697

Filing Date:

July 20, 2001

Attorney Docket No.:

068986.0109

Title:

"Materials and Methods for Binding Nucleic Acids to Surface"

PTO/SB/017 (09-00)
Proved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction	Act of 1995, no persons a	re required to respond to a collection of	it information unless it co	ontains a valid OMB control numbe
à c ^c	Complete if Known			
MONAGE TRANSM		Application Number	09/910,697	COPY OF PAPERS
FEE TRANSMITTAL for FY 2001		Filing Date	07/20/01	ORIGINALLY FILED
		First Named Inventor	Mark B. Lyles	
Patent fees are subject to annual revision.		Examiner Name	Unassigned	
		Group Art Unit	Unassigned	
TOTAL AMOUNT OF PAYMENT	\$ 462.00	Attorney Docket No.	068986.0109	

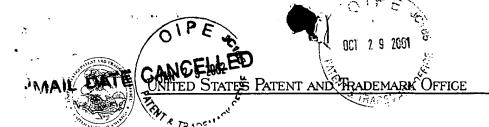
METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	3. ADDITIONAL FEES					
Deposit Account Number: 02-0383	Large Entity Small Entity					
Deposit Account Name:	Fee Fee Fee Fee Description					
Charge Any Additional Fee Required	Code (\$) Code (\$)	Fee Paid				
Under 37 CFR 1.16 and 1.17	105 130 205 65 Surcharge - late filing fee or oath	65.00				
Applicant claims small entity status.	127 50 227 25 Surcharge - late provisional filing					
See 37 CFR 1.27	fee or cover sheet					
See 37 CFR 1.27	139 130 139 130 Non-English specification					
2 M D	147 2,520 147 2,520 For filing a request for ex parte reexamination					
2. ☐ Payment Enclosed: ☐ Check ☐ Credit Card ☐ Money ☐ Other	112 920* 112 920* Requesting publication of SIR prior					
Order	to Examiner action					
	113 1,840* 113 1,840* Requesting publication of SIR after					
FEE CALCULATION	Examiner action					
	115 110 215 55 Extension for reply within first month					
1. BASIC FILING FEE	116 390 216 195 Extension for reply within second month	1				
Large Entity Small Entity	117 890 217 445 Extension for reply within third month	1				
Fee Fee Fee Fee Description	118 1,390 218 695 Extension for reply within fourth month					
Code (\$) Code (\$) Fee Paid	128 1,890 228 945 Extension for reply within fifth month					
101 710 201 355 Utility filing Fee 355.00	119 310 219 155 Notice of Appeal					
106 320 206 160 Design filing fee	120 310 220 155 Filing a brief in support of an appeal					
107 490 207 245 Plant filing fee	121 270 221 135 Request for oral hearing					
108 710 208 355 Reissue filing fee	138 1,510 138 1,510 Petition to institute a public use					
114 150 214 75 Provisional filing fee	proceeding					
·	140 110 240 55 Petition to revive - unavoidable					
SUBTOTAL (1) \$355.00	141 1,240 241 620 Petition to revive - unintentional					
565151712(1) 5655100	142 1,240 242 620 Utility issue fee (or reissue)					
	143 440 243 220 Design issue fee					
2. EXTRA CLAIM FEES	144 600 244 300 Plant issue fee					
Fee from	122 130 122 130 Petitions to the Commissioner					
Extra Claims below Fee Paid	123 50 123 50 Petitions related to provisional application					
Total Claims $28 - 20^{**} = 8 \times 9.00 = 72.00$	126 240 126 240 Submission of Information Disclosure S	tmt				
Independent - 3** = x =	581 40 581 40 Recording each patent assignment per					
Claims	property (times number of properties)	1				
Multiple Dependent 0 = 0	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))					
	149 710 249 355 For each additional invention to be					
	examined (37 CFR § 1.129(b))					
Large Entity Small Entity	179 710 279 355 Request for Continued Examination (RC	E)				
Fee Fee Fee Fee Description	169 900 169 900 Request for expedited examination	<i>-</i> ,				
Code (\$) Code (\$)	of a design application					
103 18 203 9 Claims in excess of 20	Other fee (specify)					
102 80 202 40 Independent Claims in excess of 3	5 105 (aparta)					
104 270 204 135 Multiple dependent claim, if not paid	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)	\$65.00				
109 80 209 40 ** Reissue independent claims over original patent	Reduced by Basic Filling Fee Falls SOBIOTAL (3)	\$05.00				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		J				
SUBTOTAL (2) \$72.00 ** or number previously paid, if greater; For Reissues, see above						
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SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Bry W. Slayden, K	Registration No. (Attorney/Agent)	33.790	Telephone	713.229.1786
Signature	Burn . Xerch	SP		Date	October 29, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit and information and authorization on PTO-2038.

HOU03:808923.1

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/910,697 07/20/2001 Mark B. Lyles 068986.0109

CONFIRMATION NO. 2539

FORMALITIES LETTER

Baker Botts L.L.P. One Shell Plaza 901 Louisiana Houston, TX 77002-4995

COPY OF PAPERS ORIGINALLY FILED

OC00000006505326

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$72.
 - \$72 for 8 total claims over 20.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 492.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE







PATENT 068986.0109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MARK B. LYLES

Serial No.: 09/910,697

Serial No.: 09/910,697

Serial No.: ULY 20, 2001

Title: "MATERIALS AND METHODS FOR SERIALS AND METHODS FOR SERIALS NOT SURFACES SERIALS

Commissioner for Patents Box Missing Parts Washington, D.C. 20231 CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on October 29, 2001.

Signature

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COMPLETION OF FILING REQUIREMENTS

This replies to the Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) mailed August 31, 2001. A copy of the Notice is enclosed.

Enclosed is the declaration of inventor Mark B. Lyles for this application.

Enclosed is a check in the amount of \$462.00 which covers the basic filing fee, eight (8) total claims over 20, and the late filing fee of the declaration. In the event that any other fees are required, please charge the undersigned's deposit account number 02-0383.

HOU03:808917.1 Express Mail: EL865848217US

Date: October 29, 2001

Respectfully submitted,

Bruce W. Slayden, II

Registration No. 33,790

Baker Botts L.L.P.

One Shell Plaza 910 Louisiana Street

Houston, Texas 77002-4995

Telephone:

713.229.1341

Facsimile:

713.229.7741